

07/23/01  
JCS67 U.S. PTO

07-24-01  
A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wada et al.  
Docket: 10873.772US01  
Title: OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL RECORDING/REPRODUCING APPARATUS AND OPTICAL RECORDING/REPRODUCING METHOD

J1046 U.S. PTO  
09/911143  
07/23/01

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL920771762US

Date of Deposit: July 23, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

By: *Omeh Singh*  
Name: Omesh Singh

BOX PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- Utility Patent Application: Spec. 36 pgs; 26 claims; Abstract 1 pgs.  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- 16 sheets of formal drawings
- Certified copy of a Japanese application, Serial No. 2000-222265, filed July 24, 2000, the right of priority of which is claimed under 35 U.S.C. 119
- An unsigned Combined Declaration and Power of Attorney
- A check in the amount of \$1138.00 to cover the Filing Fee
- Other: Communication re: submission of priority document, Communication re: published application
- Return postcard

CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
<b>Basic Filing Fee</b>				\$710.00
<b>Total Claims</b>				
26	- 20	= 6	x 18.00	= \$108.00
<b>Independent Claims</b>				
7	- 3	= 4	x 80.00	= \$320.00
<b>MULTIPLE DEPENDENT CLAIM FEE</b>				\$0.00
<b>TOTAL FILING FEE</b>				<b>\$1138.00</b>

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
(612) 332-5300

By: *Douglas P. Mueller*  
Name: Douglas P. Mueller  
Reg. No.: 30,300  
Initials: DPMueller/jlc



S/N unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wada et al. Serial No.: unknown  
Filed: concurrent herewith Docket No.: 10873.772US01  
Title: OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL  
RECORDING/REPRODUCING APPARATUS AND OPTICAL  
RECORDING/REPRODUCING METHOD

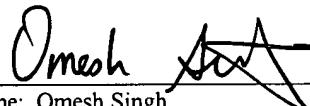
---

**CERTIFICATE UNDER 37 CFR 1.10**

'Express Mail' mailing label number: EL920771762US

Date of Deposit: July 23, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By:   
Name: Omesh Singh

**COMMUNICATION**

Assistant Commissioner for Patents  
Washington, D. C. 20231

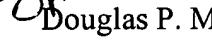
Dear Sir:

In connection with the above-identified application, applicant respectfully  
requests that Figure 1 be printed on the front page of the published application.

If a telephone conference would be helpful in resolving any issues concerning this  
communication, please contact Applicants' primary attorney-of record, Douglas P. Mueller (Reg.  
No. 30,300), at (612) 571.5237.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

  
By:   
Douglas P. Mueller  
Reg. No. 30,300

Dated: July 23, 2001

DPM/jlc